

## APPROVED RULE CHANGE

On 22 September 2023 the Deputy Director, Corporate Governance approved (under Delegation 3.14.2) administrative amendments to the UTS Student Rules and the General Rules to reflect the title change of the Higher Degree Research Board to the new title of Higher Degree Research Committee and the establishment of the Graduate Research School Board (as resolved AB/23-3/55 and AB/23-3/56).

### THE APPROVED AMENDMENTS TO THE UTS RULES ARE PROVIDED BELOW

[new text **bold underlined**, text to be deleted in ~~**bold and strikethrough**~~]

## UTS STUDENT RULES

### Section 5 - Admission

#### 5.8 Specific course requirements for admission

5.8.1 Applicants who satisfy both the general requirements for admission and language requirements for admission may also have their application assessed in accordance with specific course requirements as recommended by the relevant Faculty Board or ~~Higher Degree Research Board~~ **Graduate Research School Board** and approved by Academic Board.

5.8.2 Academic Board, on the advice of the relevant Faculty Board or ~~Higher Degree Research Board~~ **Graduate Research School Board**, may require the applicants for admission to a particular course to submit a personal statement or other document, undertake an examination or interview, or submit portfolios or other additional information. This material may be taken into account by the staff of the relevant faculty or Graduate Research School according to criteria approved by the relevant Faculty Board or ~~Higher Degree Research Board~~ **Graduate Research School Board** for the purposes of determining whether or not to make an offer of admission.

### Section 11 — Graduate research

#### 11.1 Application of these Rules

[...]

##### 11.1.3 In section 11 of the Rules:

‘Procedures’ means the Graduate Research Candidature Management Thesis Preparation and Submission Procedures as approved by the ~~Higher Degree Research Board~~ **Graduate Research School Board** from time to time.

#### 11.2 Course requirements

##### 11.2.1 Students admitted to Doctoral degrees are required to:

(1) undertake a program of study and supervised research which demonstrates the capability for substantial independent research and which has made an original and distinct

contribution to knowledge in one or more fields of investigation, scholarship or professional practice;

(2) undertake any mandatory or program-related training elements;

(3) undertake a research component which is to comprise no less than two-thirds of the total course requirement; and

(4) submit a thesis, which may include a product and/or artefact, in a format according to requirements specified by the ~~Higher Degree Research Board~~**Graduate Research School Board**.

11.2.2 Students admitted to Masters degrees (Research) are required to:

(1) undertake a program of study and research which demonstrates application of an advanced body of knowledge in a range of contexts for research and scholarship;

(2) undertake any mandatory or program-related training elements;

(3) undertake a research component which is to comprise no less than two-thirds of the total course requirement; and

(4) submit a thesis, which may include a product and/or artefact, in a format according to requirements specified by the ~~Higher Degree Research Board~~**Graduate Research School Board**.

### 11.3 Enrolment

11.3.1 Prior to initial enrolment:

(1) students who have been admitted to a graduate research course are required to certify that they can devote sufficient time to the advanced study and research such that they are able to complete the program within the approved period of candidature determined by the ~~Higher Degree Research Board~~**Graduate Research School Board**;

(2) students must comply with the requirements in Rule 2.5.2;

(3) the relevant faculty is required to certify that it will provide appropriate resources and facilities for students to undertake their research and is responsible for providing appropriate supervision in line with University policy; and

(4) any research to be undertaken at a site external to the University must be in accordance with policy and/or procedures approved by the ~~Higher Degree Research Board~~**Graduate Research School Board** or other appropriate UTS authorities from time to time.

### 11.11 Supervision

11.11.1 Students shall have a supervisory panel recommended by the relevant faculty, appointed by the Responsible Academic Officer and approved by the Dean, Graduate Research School. The composition of the supervisory panel shall be in accordance with the Graduate Research and Supervision Policy as approved by Academic Board from time to time.

11.11.2 The criteria necessary for appointment as a supervisor of students shall be approved by the ~~Higher Degree Research Board~~**Graduate Research School Board** and reported to Academic Board from time to time.

### 11.14 Review of unsatisfactory progress

[...]

#### 11.14.2 Review application

(1) An application for review of an unsatisfactory progress report must be in writing and should specify and substantiate the grounds for a review. It must be lodged with the Dean, Graduate Research School within 10 working days of notification of the unsatisfactory progress report.

(2) Requests for a review of an unsatisfactory progress report will be considered by the Dean, Graduate Research School. The Dean, Graduate Research School may seek the advice of the ~~Higher Degree Research Board~~ Responsible Academic Officer and other relevant academic staff members.

#### 11.17 Thesis requirements

[...]

11.17.2 A thesis shall comply with the following requirements:

- (1) it must be in English, with the exception of a thesis written under a collaborative agreement (refer to Rules 11.11.6 and 11.17.3);
- (2) it must reach a satisfactory standard of presentation in accordance with the Procedures;
- (3) it must consist of the students' own account of their work, except that, in special cases, work done conjointly with other persons may be accepted provided the Responsible Academic Officer is satisfied as to the extent of the student's part in the joint work;
- (4) it must be embodied in a format as approved by the ~~Higher Degree Research Board~~ Graduate Research School Board;
- (5) it must not include any work or material previously submitted in full or in part for another award, except as fully acknowledged within the text of the thesis; and
- (6) it may include work previously published by the student only if it bears on the subject of the thesis. Joint publications will be acceptable provided the Dean, Graduate Research School is satisfied with the graduate research student's part in the joint work.

### Section 12 – Higher Doctoral degree requirements

#### 12.6 Assessment of works

[...]

12.6.3 The Thesis Examination Committee shall consider the reports of the assessors and make a recommendation to the Dean, Graduate Research School who, on behalf of ~~Higher Degree Research Board~~ Graduate Research School Board, shall formulate a recommendation to Academic Board, upon advice of Director, Student Administration, and in accordance with the [Statement of Assurance — Conferral of Awards](#) (PDF), that:

- (1) the candidate has satisfied requirements for the award of the degree as specified in Rule 12.2; or
- (2) the candidate has not satisfied requirements for the award of the degree.

12.6.4 The candidate shall be advised by the Chair of ~~Higher Degree Research Board~~Graduate Research School Board of Academic Board's action under Rule 12.6.3.

## Section 13 – Awards and Graduation

### 13.2.2 Graduate research

(1) Graduate research students must have:

- (a) been enrolled in the course that leads to the award; and
- (b) completed satisfactorily the educational, research and other requirements as approved for the student.

(2) The ~~Higher Degree Research Board~~Graduate Research School Board shall determine those students who have completed the course requirements.

(3) The Chair of ~~Higher Degree Research Board~~Graduate Research School Board, acting on behalf of ~~Higher Degree Research Board~~Graduate Research School Board, shall recommend to Academic Board, upon advice of the Director, Student Administration, that the process outlined in the Statement of Assurance — Conferral of Awards has been followed, and the resulting graduate research students who have satisfactorily completed course requirements in accordance with Rule 13.2.2(1) and (2) are considered eligible to graduate.

(4) Where, as a result of death or permanent incapacity, a student fails to complete the course requirements, but has completed a substantial proportion of them, upon recommendation from the relevant Faculty Board, the Higher Degree Research ~~Board~~Committee may recommend to Academic Board that the student be deemed to have completed requirements of a course and that an award be conferred. Such a recommendation would normally be made within two years of the student's last enrolled teaching period and must be supported by relevant documentary evidence. Such evidence may include the completion of research work, papers and publications, literature reviews, or other tangible research related activities.

### 13.3 Eligibility to graduate

13.3.1 The Director, Student Administration shall provide advice to the relevant Chair of Faculty Board, acting on behalf of the Faculty Board, for recommending to Academic Board that the process outlined in the Statement of Assurance — Conferral of Awards has been followed, and the resulting coursework students who have satisfactorily completed course requirements in accordance with Rule 13.2.1 and are considered eligible to graduate.

13.3.2 Upon advice of the Director, Student Administration, the Chair of ~~Higher Degree Research Board~~Graduate Research School Board, acting on behalf of ~~Higher Degree Research Board~~Graduate Research School Board, shall recommend to Academic Board the conferral of awards upon those graduate research students who have satisfactorily completed course requirements in accordance with Rule 13.2.2 and are considered eligible to graduate.

## Schedules

## Schedule 1 — Definitions

**Higher Degree Research Board Committee** means the body appointed by Academic Board to provide recommendations and advice on matters relating to ~~research degrees, research management and training and policy~~ graduate research training quality and performance, degree accreditation and removal, research integrity and emerging trends in graduate research.

**Graduate Research School Board** means the group of staff and students with responsibility for assessing the quality of, and providing direction to, the administration of graduate research education in the Graduate Research School. Under Rule 1.3 of the Student Rules, the Graduate Research School Board (GRSB) is accountable for making strategic decisions to shape the direction and practices of the Graduate Research School in matters of students, research and teaching, its development and its core business as a pan-institutional entity.

**supervisory panel** means the group of people appointed by the ~~Higher Degree Research Board~~ **Graduate Research School Board** in accordance with the guidelines approved by Academic Board to supervise the candidature of a research degree student.

## GENERAL RULES

### Part 1 – Academic Board

#### Division 3 — Functions and powers of Academic Board

##### Approval and monitoring functions

12. (2) Without limiting the effect of Rule G3-11, Academic Board shall:

(a) upon recommendation of the Chair of Faculty Board (acting on behalf of the Faculty Board) for coursework, and Chair of ~~Higher Degree Research Board~~ **Graduate Research School Board** (acting on behalf of ~~Higher Degree Research Board~~ **Graduate Research School Board**) for graduate research, approve those students who are considered eligible to graduate, having completed approved course requirements satisfactorily noting:

(i) the Chair of Faculty Board and Chair of ~~Higher Degree Research Board~~ **Graduate Research School Board** shall make such recommendation to Academic Board upon the advice of Director, Student Administration;