

UTS Disclosure Log Entry – GIPA2024/06

Provided under s.25 of the NSW Government Information (Public Access) Act 2009.

Date decided:

19/8/2024

Scope of request:

See specifics of each request in the attached.

GIPA2024/06 – Release Set of information

Request 1

All documents containing information on UTS's investments in the following managed funds (as at 6/7/2024)

- Bank of America
- BlackRock
- Capital Group
- Citigroup
- Evercore
- Goldman Sachs
- JPMorgan Chase
- State Street Global
- Vanguard
- Wells Fargo

Request 1 - released information:

No information is held

Request 2

All documents containing information on UTS's investments in the following managed funds (as at 6/7/2024)

- | | | | | |
|---|--------------------------------------|---|--|---|
| - AECOM | (DSME) | - Kongsberg Gruppen | - NEC Corporation | - Sukhoi |
| - Airbus Group. | - Dassault Aviation | - Korea Aerospace Industries | - Nexter | - Tactical Missiles Corporation |
| - Almaz-Antey | Groupe | - Krauss-Maffei Wegmann | - Northrop Grumman Corporation | - Textron |
| - ASELSAN | - DynCorp International | - KRET/CRET (Concern Radio-Electronic Technologies) | - Orbital ATK | - Thales |
| - Austal | - Elbit Systems | - L-3 Communications | - Oshkosh Corporation | - The Aerospace Corporation |
| - Austal USA | - Embraer | - Leidos | - Paramount Gold Nevada Corporation (PZG) | - ThyssenKrupp |
| - Babcock International Group | - Engility | - Leonardo | - Poongsan Corporation | - Triumph Group |
| - Bechtel Corporation | - Fincantieri | - LIG Nex1 | - Pratt & Whitney (Subsidiary of United Technology Corporation, USA) | - Turkish Aerospace Industries |
| - Bharat Dynamics | - Fluor Corporation | - Lockheed Martin Corporation | - Raytheon | - UkrOboronProm |
| - Bharat Electronics | - GenCorp | - Massachusetts Institute of Technology | - Raytheon Australia | - Ultra Electronics Corporation |
| - Boeing | - General Atomics | - MDBA | - Rheinmetall | - United Engine Corporation |
| - Booz Allen Hamilton | - General Electric | - Meggitt | - Rockwell Collins | - United Engine Corporation Russia |
| - British Aerospace Engineering Systems (BAE Systems) | - GKN | - Mitre Corporation | - Rolls-Royce | - United Instrument Manufacturing Corporation |
| - British Aerospace Engineering Systems Australia | - Hanwha Corporation | - Mitsubishi Electric Corporation | - RUAG Group | - United Launch Alliance |
| - British Aerospace Engineering Systems Incorporated (BAE Systems UK) | - Hanwha Group | - Mitsubishi Heavy Industries | - SAAB Group | - United Shipbuilding Corporation |
| - CACI International | - Hanwha Systems | - Moog | - Safran | - United Technologies Corporation |
| - CAE Incorporated | - Harris Corporation | - Naval Group (formerly known as DCNS, or "Direction des Constructions Navales Services") | - Sandia Corporation | - Uralvagonzavod |
| - Cobham | - Hewlett Packard Enterprise Company | - Navantia | - Science Applications International Corporation | - Vectrus |
| - CSRA | - High Precision Systems | | - Serco | - ViaSat |
| - Cubic Corporation | - Hindustan Aeronautics | | - Singapore Technologies Engineering Ltd (ST Engineering) | |
| - Daewoo Shipbuilding & Marine Engineering | - Honeywell International | | | |
| | - Huntington Ingalls Industries | | | |
| | - IHI Corporation | | | |
| | - Irkut Corporation | | | |
| | - Israel Aerospace Industries | | | |
| | - KBR Incorporated | | | |

Request 2 - released information:

No information is held

Request 3

All documents containing information on UTS governance relationships and partnerships between UTA Council and UTS Executive members and any of the companies or funds listed in request 1 and 2 (as of 1-6/7/2024).

Request 3 - released information:

No information is held

Request 4

The following details relating to research contracts between UTS and any of the companies or funds listed in request 1 and 2, as well as Australian Defence Industry, Australian Government Department of Defence, Australian Department of Home Affairs, United States Department of Defence, United States Office of the Director of National Intelligence (as of 1/6/7/2024)

- Organisation Name
- Project title
- Project start date
- Project end date

Request 4 - released information:

TITLE	START_DATE	END_DATE	ORG_NAME
Multi-objective Ship Routing Under Uncertainty	17/01/2022	16/01/2025	Navantia Australia Pty Ltd
Miniature Physiological Sensors with Integrated Brain Signal Decoders for Brain-Robot Interaction	30/06/2023	29/12/2025	Department of Defence
Disinformation Defence Initiative: Delivering tools and analysis to fight the growing threat of disinformation for Australia.	29/06/2022	29/06/2025	Department of Home Affairs
Coordinated Autonomy through Dec-MCTS with Communicated Behavioural Intent	1/10/2022	28/02/2025	Defence Science and Technology Group of the Department of Defence
CogSat: Cognitive Satellite Radio - SmartSat CRC	1/11/2022	1/11/2025	SmartSat CRC Limited
Numerical Modelling of Flow-Induced Vibration of Structures	17/10/2022	30/08/2024	Defence Science and Technology Group of the Department of Defence
Power-Efficient Electronically Beam Scanning Antenna Array for Aquatic Drones Communications with LEO Satellites.	1/07/2024	1/07/2025	Navantia Australia Pty Ltd
Energy Independence and Microgrid Deployment: Examining the Implications for Defence Operations and Supply Chain Resilience	16/01/2024	30/06/2025	Department of Defence

Request 5

All documents containing information on exclusionary policies held with managed funds prohibiting or restricting investment in particular industries or products.

Request 5 - released information:

- Treasury and Investments Policy

<https://www.uts.edu.au/about/uts-governance/policies/uts-policy/treasury-and-investments-policy>