

THINK.
CHANGE.
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INFORMATION GUIDE

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The UTS: Information Guide has been issued in accordance with section 20 of the *Government Information (Public Access) Act 2009* (NSW) (GIPA Act).

Produced by the Governance Support Unit, Division of the Deputy Vice-Chancellor and Vice-President (Corporate Services).
July 2016

Structure and functions of UTS

The University of Technology Sydney is committed to freedom of inquiry; equality of opportunity; the pursuit of excellence in teaching, research and scholarship; and interaction with industry and the professions.

The object and functions of the University are outlined in section 6 of the *University of Technology Sydney Act 1989* (NSW) (UTS Act).

On 26 January 1988 the former New South Wales Institute of Technology became the University of Technology Sydney.

The New South Wales Institute of Technology was established in 1964. The School of Design of the former Sydney College of the Arts was incorporated into the institute on 25 January 1988. On 1 January 1990, the Institute of Technical and Adult Teacher Education and the Kuring-gai College of Advanced Education were amalgamated with the existing University of Technology Sydney to form the current UTS.

UTS is located in the heart of Sydney's creative precinct and neighbours Sydney's central business district. Facilities are also located at the UTS Moore Park Precinct, in the grounds of the Sydney Cricket and Sports Ground Trust.

UTS Strategic Plan

The UTS Strategic Plan 2009–2018 provides a strong statement about the University's aspirations for its third decade and outlines UTS's vision to be a world-leading university of technology.

Four key strategic objectives, and associated outcome statements, form the foundation of the plan.

The strategic objectives are as follows.

- Inspire graduate success: Engage our students in creative and inspiring learning that enables them to build strong professional identities, future-focused graduate capabilities and global citizenship.
- Enhance our research performance: Increase the scale, quality and impact of research in our discipline fields.
- Connect and engage: Leverage our environment to connect students, staff, alumni, industry and the community to create sustained opportunities for collaborative learning, innovative research and enduring relationships.
- Adapt and thrive: Lead UTS into a sustainable future; fostering creativity, agility and resilience in our people, processes and systems.

An important part of the strategic plan are the UTS values that guide our performance: discover, engage, empower, deliver and sustain.

Key areas

The University is divided into the following areas.

UTS Council

The UTS Council is the governing body of the University and is constituted in accordance with the provisions of the UTS Act. Council is responsible for the control and management of the affairs and concerns of the University.

Office of the Vice-Chancellor and President

As the University's chief executive officer, the Vice-Chancellor is responsible to Council for the effective management of the University. The Vice-Chancellor is responsible for the strategic positioning of UTS, the external profile of the University and the overall performance of UTS.

Division of the Provost and Senior Vice-President

As the primary academic officer, the Provost and Senior Vice-President is second-in-charge to the Vice-Chancellor and oversees the academic activities of the University, with a particular focus on Indigenous strategy and education, equity and diversity, innovation and creative intelligence, and performance and strategic planning.

Division of the Deputy Vice-Chancellor and Vice-President (International and Advancement)

This division is responsible for the international and advancement portfolios, including the University's internationalisation strategy; international partnerships, recruiting and marketing; relations with industry, community and government; alumni relations; and university fundraising.

Division of the Deputy Vice-Chancellor and Vice-President (Research)

The research division has responsibility for research activity and research policy development, postgraduate education, industry liaison, intellectual property and commercialisation.

Division of the Deputy Vice-Chancellor and Vice-President (Education and Students)

This division's responsibilities include ensuring an effective teaching and learning environment and developing a University-wide student focus including the quality of courses and teaching, student services and the student experience.

Division of the Deputy Vice-Chancellor and Vice-President (Corporate Services)

The key accountabilities of this division include marketing, communication, work culture, human resource management, information technology, organisational capabilities, student administration, governance support and legal compliance.

Division of the Deputy Vice-Chancellor and Vice-President (Resources)

This division has overall responsibility for the efficient and effective use of the University's resources, including the financial, commercial, building, maintenance and sustainability activities. An additional key responsibility is the creation and management of the University's Campus Master Plan.

Controlled entities

accessUTS Pty Limited is the wholly owned consulting company of UTS.

Insearch Limited is a registered Australian higher education institution offering academic pathway programs to UTS.

Piivot Pty Ltd is a proprietary company limited by shares whose objectives include the facilitation and support of new startups and entrepreneurs.

UTS Global Pty Ltd provides management and administrative services to the University related to UTS representative offices overseas.

Faculties and schools

UTS conducts its teaching and research programs principally through the following:

- Faculty of Arts and Social Sciences
- UTS Business School
- Faculty of Design, Architecture and Building
- Faculty of Engineering and Information Technology
- Faculty of Health
- Faculty of Law
- Faculty of Science
- Graduate School of Health.

Effect of UTS functions on the public

Teaching and research

UTS serves the community primarily through its teaching and research.

Through its teaching, the University aims to produce work-ready graduates with an appreciation of the practical, social and ethical dimensions of their chosen professions, and with the skills and knowledge to operate effectively in a global workplace.

UTS has adopted a teaching model of global practice-oriented learning with a strong emphasis on workplace experience; incorporating the latest industry practice and professional standards into its curriculum. To achieve this, the University has entered into a range of partnerships with industry, business, government and the community.

UTS's research is cross-disciplinary, innovative and collaborative with a focus on delivering a real benefit to society. The University continues to improve overall research performance and standing through building researcher capacity, strengthening linkages nationally and internationally and developing a dynamic research culture. The University is renowned in its areas of concentration and research strengths.

Under the UTS Act the University also has power to commercialise its functions, including the commercial exploitation of intellectual property and research to benefit the University.

Direct assistance with research and development is available to industry through accessUTS Pty Limited, a wholly owned UTS company that provides professional consulting services to business, industry and government.

Community engagement

In addition to the more traditional curriculum practices, many students are involved with the community and take part in professional experience placements in industry. This enables students to develop skills and attributes of relevance and value to their chosen professions and to the community.

UTS works with a number of communities for mutual benefit, including industry (incorporating business, government, professional and peak bodies), the local community (Sydney-based not-for-profit organisations, agencies and collectives) and the wider community.

Students and staff interact with the community through:

- student placements, work-based learning, volunteering and structured internships
- industry-funded scholarships and sponsorship of UTS events and programs
- industry participation on advisory boards
- guest lectures
- tailored short courses
- collaborative research
- global exchange
- schools-based programs
- alumni networks
- graduate recruitment and employment services.

UTS Shopfront

UTS Shopfront is a University-wide program that links disadvantaged and under-resourced community groups to University knowledge, skills, resources and professional expertise. Through Shopfront, community-based projects are carried out by students under the supervision of academics. The process is collaborative: students and community groups are involved in all facets of a project's development, with projects ranging from large undertakings to small projects.

UTS: SOUL

UTS: SOUL is a social leadership and volunteer program for students. It supports students to venture beyond their degree and into the community, applying the skills and knowledge learnt at UTS.

BUiLD

BUiLD is the University's international leadership program for students to explore issues of social enterprise, sustainability and social justice through workshops, guest lectures, international relations events, networking sessions and overseas opportunities.

Public participation in UTS policies and functions

Staff and students can participate in policy decisions through the University's committee structure (refer appendix two). Bodies for public participation are the UTS Council and its committees. Staff and students also participate on Academic Board and its committees.

Community interests are represented on the University's 20-member Council through the members appointed from the professions, industry, business and the wider community.

There are also elected student and staff members on Council, Academic Board and the faculty boards.

Staff and students can also provide feedback to the University through staff forums and student and staff surveys, which may influence policy decisions.

UTS operates a range of industry advisory groups, including the Vice-Chancellor's Industry Advisory Board and several others at the faculty level. These advisory groups allow targeted discussion and interaction with industry at a variety of levels. There is also the Vice-Chancellor's Indigenous Advisory Committee, which is the primary source of consultation and advice on Indigenous education, employment and social and cultural matters of relevance to the progression of the University's Indigenous Education and Employment Policy. Committee membership is predominantly made up of external Indigenous community leaders and specialists.

Members of the public may attend UTS open days and various cultural and educational events and exhibitions. UTS also hosts a range of public talks and events for the University and the wider community to share and discuss ideas.

The public can also connect with the University through *The Conversation*: an online independent source of news and views. UTS is a founding partner of *The Conversation* and has more than 100 contributing authors.

UTS Council

As the governing body of the University, Council has the powers and functions conferred or imposed on it by the UTS Act. Council may act in all matters in a manner that will best promote the object and interests of the University.

Members of Council include the following:

- official members — three members, including the Chancellor (if the Chancellor is not otherwise a member of the Council), the Vice-Chancellor and the Chair of Academic Board
- appointed members — two ministerially appointed members and 10 Council-appointed members
- elected members — two academic staff members, one professional staff member, one postgraduate student and one undergraduate student.

Academic Board

The Academic Board, which is constituted under section 15 of the UTS Act and Division 1 of Rule G3 of the UTS General Rules, is the principal advisory body to the Council on academic matters.

The board is concerned with policy development as it relates to the University's academic programs in education, scholarship and research, and community service. It approves academic policies and, where appropriate, refers policy recommendations to Council and discusses matters referred to it by Council.

Academic Board plays a key role in the UTS community in providing a forum for the discussion and debate of the academic directions of the University as well as the quality of its academic programs.

Members of the UTS community that may be elected to Academic Board comprise:

- members elected by and from the academic staff of each faculty on the basis of one member for every 30 equivalent full-time (continuing and fixed term) academic staff members (rounded to the nearest whole number), with a minimum representation of two members a faculty
- one student elected from each faculty, and an alternate nominated by the faculty board
- one postgraduate research student elected by and from the postgraduate research students of the University.

Council committees and groups

The Council is assisted in its role by the following bodies.

Audit and Risk Committee

This committee provides independent assurance and assistance to the Council in relation to the University's risk, control and compliance framework, and its external accountability responsibilities.

The committee is chaired by an external member of Council who is not a member of the Finance Committee and is appointed for their expertise in audit and risk.

Membership includes at least three, but no more than five, other members, of whom all should have strategic management expertise and experience in accounting, auditing or risk; up to two should be members of Council with qualifications and experience related to the operations of the University; and at least one should be a member of the Finance Committee (whether or not they are also a member of Council); subject to the majority of committee members being independent.

Commercial Activities Committee

This committee advises Council on all matters relating to the University's commercial activity. It takes action, as appropriate, to assess, approve and monitor commercial activity.

The committee is chaired by one of the external members of Council who has been appointed to Council for their expertise in commercial activities.

Membership includes four other members, of whom all should have strategic commercial skills and experience; and up to two may also be members of Council with qualifications and experience related to the operations of the University. The Vice-Chancellor or nominee is also a member.

Finance Committee

This committee advises Council on matters relating to the distribution and supervision of University finances and acts on behalf of Council in areas of delegated authority.

The committee is chaired by an external member of Council appointed for their expertise in financial matters.

Membership includes four other members, of whom all should have strategic financial expertise and experience; and at least two should be members of Council with qualifications and experience related to the operations of the University. The Vice-Chancellor is also a member.

Governance Committee

This committee advises Council on matters relating to University governance, in particular proposed amendments in the regulatory environment and internal governance instruments, and the monitoring of performance through the University's Governance Review Framework. The committee also takes a lead role in the planning and development of Council's skills, knowledge and experience.

The committee is chaired by the Chancellor and membership includes two or three members, who may also be members of Council, with particular skills and experience in governance matters. The Vice-Chancellor and University Secretary are also members.

Honorary Awards Committee

This committee advises Council on policy relating to honorary awards and recommends nominations for honorary awards.

The committee is chaired by the Chancellor and membership includes three external members of Council, the Deputy Chancellor and one academic staff member of Council. The Vice-Chancellor, a Deputy Vice-Chancellor, the University Secretary and Chair of Academic Board are also members.

Nominations Committee

This committee recommends to Council candidates whom Council may either nominate to the minister for appointment as Council members or appoint as Council members.

The committee is chaired by the Chancellor and membership includes three members appointed to the committee by Council, one of whom must be a Council member appointed by the minister and one of whom must be a Council member appointed by Council. The Vice-Chancellor is also a member.

Physical Infrastructure Committee

This committee advises Council on capital development and management matters within the context of the capital works budget.

The committee is chaired by an external member of Council appointed for their expertise in strategic capital development and management.

Membership includes four other members, of whom up to two may also be members of Council with qualifications and experience related to the operations of the University; and all should have strategic skills and experience involving capital development and management. The Chair of the Finance Committee is also a member as is the Vice-Chancellor or nominee.

Remuneration Committee

This committee advises Council on the performance of the Vice-Chancellor and the Provost.

The committee is chaired by the Chancellor and membership includes the Deputy Chancellor and one other member appointed by Council whose term of appointment is not about to expire.

Social Justice Committee

The main role of this committee is to review the University's social justice performance against current objectives in the light of the data reported in the relevant University and statutory reporting requirements.

Membership includes an external member of Council as Chair and four other members, including up to two who may also be members of Council with qualifications or experience related to social justice. The Vice-Chancellor or nominee is also a member.

Student/Council Liaison Group

This group is a forum for UTS students, members of the University Council and senior management to discuss matters of UTS policy and governance relevant to the student body.

The group includes two external members of Council, as the chair and deputy chair, and membership includes:

- two student members of Council
- Deputy Vice-Chancellor and Vice-President (Education and Students)
- Director, Student Services Unit
- an Associate Dean, nominated by the associate deans
- President, Students' Association, or nominee
- overseas students officer of the Students' Association
- President, ActivateUTS, or nominee
- a student representative of ActivateUTS clubs
- a UTS student elected by and from the UTS Housing Student Resident Advisory Committee
- Indigenous student representative
- elected student members of Academic Board, one from each faculty
- elected postgraduate research student member of Academic Board.

Information held by UTS

The *Government Information (Public Access) Act 2009* (NSW) (GIPA Act) provides for the public to have right of access to government information. Government information is defined in the GIPA Act as meaning 'information contained in a record held by an agency'.

Types of information held by UTS include policies, directives, reports, prospectuses, guides; and academic, employment, training and health information.

Information identified as 'open access' information is publicly available and free of charge, primarily through the University's website:

www.uts.edu.au

Other information may require an informal request or an access application to be made under the GIPA Act.

Further details about requesting access to information are provided on page 12.

Information contained in the UTS Archives

Archives are records required for the University's ongoing administrative needs, as well as records classified as state archives under the *State Records Act 1998* (NSW). This includes files, minutes, agenda and reports, as well as past copies of official University publications.

Availability

Under Part 6 of the State Records Act, public access is available to many of the University's records after 30 years. Some records will be available earlier, whereas some records will be closed for a longer period of time. Decisions regarding access are specified in access directions lodged by UTS under the State Records Act:

www.records.nsw.gov.au/archives/collections-and-research/register-access-directions

Information contained in records that have an open to the public access direction do not require a request under the GIPA Act. This information can be accessed through an application to University Records. Inquiries should be directed to the contact listed below.

Where information is contained in a record that is more than 30 years of age, and an access direction has not yet been applied, a request to apply an access direction should first be made under the State Records Act, as the information may be open to public access. Inquiries and requests should be directed in the first instance to the contact listed below.

Where information falls within the restricted 30-year period, or where it has a closed to the public access direction, an informal request or an access application will be required under the GIPA Act. (Further details about requesting access to information are provided on page 12.)

Contact

Manager, Corporate Information
Governance Support Unit

Information contained in the University's records

An official record is the University's original or primary record of its business activities. Records exist in relation to the functions of individual units, schools, departments, institutes, centres, faculties and divisions. They include information relating to administrative activities, legal issues, students, staff, courses, grants, research, etc.

Availability

Access may be available through an informal request or an access application made under the GIPA Act. (Further details about requesting access to information are provided on page 12.)

Contact

Right to Information Contact Officer
Manager, Corporate Information
Governance Support Unit
Right.to.information@uts.edu.au

Official publications

The UTS: Calendar contains the *University of Technology Sydney Act 1989* (NSW), the University of Technology Sydney By-law 2005 (NSW) and the UTS Rules.

The UTS: Handbook contains comprehensive information on all courses and subjects offered by the University.

The UTS Annual Report provides a review of the University's operations, performance and financial position for the year. It is compiled under the *Annual Reports (Statutory Bodies) Act 1984* (NSW).

Availability

Official publications are available at:

www.uts.edu.au/about/uts-governance/official-publications

Copies of the official publications can also be viewed in the UTS Archives (refer above).

Contact

Editor
Governance Support Unit

Policy information and plans

The University has developed policies and directives for specific matters and planning documents that outline the strategic direction of the University.

Availability

Policies and directives are available at:

www.uts.edu.au/about/uts-governance/policies-and-directives

Some planning information, including the University's strategic plan, is available at:

www.pqu.uts.edu.au/planning_quality_management_uts

This information may also be inspected free of charge (a photocopying charge of 20 cents a page is payable on copies provided).

Contact

Right to Information Contact Officer
Manager, Corporate Information
Governance Support Unit
Right.to.information@uts.edu.au

Promotional information

Information relating to the promotion of UTS is contained in a range of promotional documents, including undergraduate and postgraduate course guides (for local and international students), a global exchange guide, a research guide, and University news and views magazine *U:* and the University's alumni magazine *TOWER*.

Availability

Print copies of these documents are available free of charge on request. The course guides are also distributed at school visits, careers markets and UTS open days.

Most of this information is also available on the University's website at:

www.uts.edu.au/future-students

www.newsroom.uts.edu.au/umag

www.alumni.uts.edu.au

Contact

UTS Student Centres
telephone 1300 ask UTS (1300 275 887)
+61 2 9514 1222

www.uts.edu.au/current-students/managing-your-course/ask-uts

Information about decisions and decision-making processes

Information relating to decisions and decision-making processes is contained in agenda and minutes of Council and its committees, the Academic Board and its committees, and other committees.

Availability

Council meeting resolutions and papers are available at:

www.uts.edu.au/about-uts/uts-governance/committees/council

Academic Board papers and minutes are available at:

www.uts.edu.au/about-uts/uts-governance/committees/academic-board

Contact

Manager, Policy and Secretariat
Governance Support Unit

Information about students and staff

Personal information, health information and privacy issues are managed by the University in accordance with the *Privacy and Personal Information Protection Act 1998* (NSW) and the *Health Records and Information Privacy Act 2002* (NSW).

Availability

In most cases, UTS will grant an individual access to their own personal or health information under the respective privacy act. An application under the GIPA Act is not usually required unless providing access may breach another individual's privacy.

The University does not provide a third party access to an individual's personal information without the permission of the individual concerned, unless there is a legal requirement to do so or a specific exemption from the legislation. Access to another person's personal information requires an access application under the GIPA Act. The other person's privacy will be taken into account and, where possible, the individual concerned will be consulted.

Applications to amend personal information considered incomplete, incorrect, out-of-date or misleading must be made under the relevant privacy act. If the University does not agree that the information needs amendment, the applicant is entitled to have a notation added to the record.

Further information about privacy can be found on the UTS website:

www.uts.edu.au/about-uts/uts-governance/privacy

The University's Privacy Vice-Chancellor's Directive, including the Privacy Management Plan, is available at:

www.gsu.uts.edu.au/policies/privacy.html

Contact

Privacy Officer
Manager, Corporate Information
Governance Support Unit
privacy@uts.edu.au

For students

UTS Student Centres
telephone 1300 ask UTS (1300 275 887)
+61 2 9514 1222

www.uts.edu.au/current-students/managing-your-course/ask-uts

For staff

HR Client Services
Human Resources Unit
telephone +61 2 9514 1060
HRClientServices@uts.edu.au

www.hru.uts.edu.au

Accessing UTS information

Under the GIPA Act, information held by UTS can be accessed in four ways:

- open access ('publicly available information' below)
- proactive release ('publicly available information' below)
- informal release ('applying for access to information' below)
- access application ('applying for access to information' below).

Publicly available information

The University is obliged to provide open access to the following information:

- information guide
- policy documents
- register of contracts
- disclosure log
- documents about UTS tabled in the NSW Parliament
- details about open access information not disclosed.

This information is available at:

www.uts.edu.au/about-uts/uts-governance/right-to-information-gipa/publicly-available-uts-information

The University is also required to consider what types of information should be proactively released in addition to information already publicly available on the University's website. Each business unit is responsible for their web content on the University's public website. Decisions will be made by each area to release information relating to their activities on the public website on an ongoing basis as part of their normal business. In addition, the Right to Information Coordinator will also consider the proactive release of information where warranted under delegation from the Vice-Chancellor.

Information decided for proactive release will be available across the University's website. Where a decision has been made by the Right to Information Coordinator to release specific types of information proactively, the information will be available at:

www.uts.edu.au/about-uts/uts-governance/right-to-information-gipa/publicly-available-uts-information

To make inquiries regarding open access information, or proactive release information, contact the Right to Information Contact Officer (page 14).

Applying for access to information

A person wishing to access information under the GIPA Act must apply to the Right to Information Coordinator. It is preferred that a person contacts the relevant part of UTS first before applying to the Right to Information Coordinator in case the information they wish to seek is already available to them.

The Right to Information Coordinator may decide that the application can be dealt with as an informal request and informally release the information. In such cases, the application fee, timeframes and review rights will not apply. Conditions on the use and further disclosure of information released as part of an informal request may also be imposed.

If the information is not available, and cannot be accessed through an informal request, a formal access application will be required. To lodge an access application, the following is required.

- The application must be in writing and state that it is made under the GIPA Act.
- It must be accompanied by the \$30 application fee.
- The application needs to provide sufficient detail to enable the University to identify the information requested.
- A postal address must be provided.

In addition to the application fee, there may be a processing charge payable of \$30 an hour (where the applicant is requesting access to their own personal information, the first 20 hours of processing is not charged). Where applicable, the applicant will be advised of costs or possible reductions (a reduction of 50 per cent may be applicable in circumstances where an applicant can prove financial hardship, or where UTS is satisfied the information applied for is of special benefit to the public generally).

UTS will acknowledge receipt of the access application in writing within five working days of receipt. The University will decide whether access will be provided within 20 working days of receipt of an application. The applicant will be advised as soon as possible in a notice of decision of the outcome and will be given reasons if access is denied, partially provided or deferred.

An applicant may apply for an internal review of the decision not to provide access to information requested. An application for internal review must be made within 20 working days of the notice of decision, be in writing and accompanied by the \$40 application fee. Alternatively, the applicant has the right to external review by the NSW Information Commissioner and/or the NSW Civil and Administrative Tribunal. Applications to these bodies must be made within 40 working days of the notice of decision or of the outcome of the internal review if one was requested.

A consulted third party also has the same right to request an internal review of a decision that they do not agree with.

To request access to information, or to make an inquiry about the process, contact the Right to Information Contact Officer (page 14).

Contacts

Right to Information Contact Officer

The role of the Right to Information Contact Officer is to:

- respond to general questions and inquiries
- provide assistance in making an application to access information
- liaise with applicants regarding existing access applications
- provide advice regarding review rights.

Manager, Corporate Information
Governance Support Unit
University of Technology Sydney
Broadway NSW 2007
telephone +61 2 9514 1245
Right.to.information@uts.edu.au

office hours Monday to Friday 9am–4pm

Right to Information Coordinator

The role of the Right to Information Coordinator is to:

- make decisions regarding applications to access information
- make decisions regarding authorised proactive release of information (excluding contract reporting, which is delegated to the Financial Services Unit)
- ensure that UTS meets its obligations regarding reporting under the GIPA Act.

This role is delegated by the principal officer of UTS, the Vice-Chancellor, to the director (or acting director), Governance Support Unit. In the absence of the director (or acting director), Governance Support Unit, the Deputy Vice-Chancellor and Vice-President (Corporate Services) is delegated to undertake this role. The Vice-Chancellor may also delegate responsibility for decision-making on a case-by-case basis where warranted.

Right to Information Coordinator
Governance Support Unit
University of Technology Sydney
Broadway NSW 2007
Right.to.information@uts.edu.au

General inquiries

telephone +61 2 9514 2000

www.uts.edu.au

Postal address

University of Technology Sydney
PO Box 123
Broadway NSW 2007

Information and Privacy Commission NSW

Level 17
201 Elizabeth Street
Sydney 2000 NSW
telephone 1800 472 679
ipcinfo@ipc.nsw.gov.au

www.ipc.nsw.gov.au

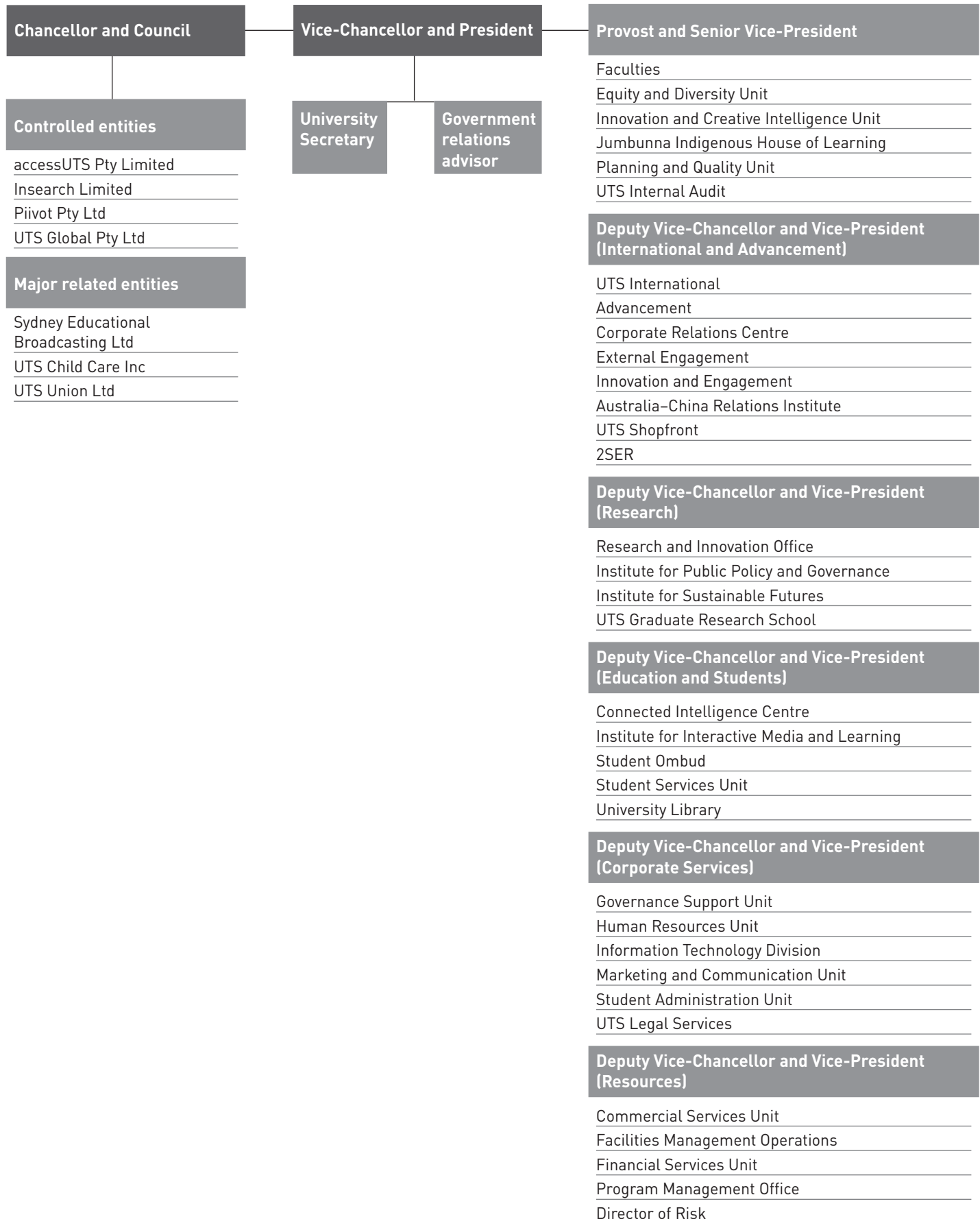
office hours Monday to Friday 9am to 5pm (excluding public holidays)

Postal address

GPO Box 7011
Sydney NSW 2001

Appendices

Appendix 1: UTS organisational structure



Appendix 2: UTS committee structure

